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February 6, 2004
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Christina Fernandez Name Signature Lemma Leboura

2812

Not Yet Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

Applicant:

Andrew J. Kuzma

Serial No.:

10/659,898

Filed:

September 11, 2003

For:

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EMITTING

SEMICONDUCTOR LASERS

Docket No.:

42.P13639D

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT 37 C.F.R.§§ 1.56, 1.97, 1.98

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449. Applicant respectfully requests that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant. Copies of the references listed on Form PTO-1449 are enclosed.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. Additionally, as to any reference supplied applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method.

under one of the following: [X]37 C.F.R. §1.97(b). 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following: [] A statement pursuant to 37 C.F.R. §1.97(e) as follows: [] Each item of information contained in this information disclosure statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. No item of information contained in this information disclosure statement (IDS) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the IDS. A check for the fee required under 37 C.F.R. §1.17(p) for submission of the IDS. 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are both of the following A statement pursuant to 37 C.F.R. §1.97(e) as follows: Each item of information contained in this information disclosure statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. No item of information contained in this information disclosure statement (IDS) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in Sec. 1.56(c) more than three

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted

months prior to the filing of the IDS.

A check for the fee required under 37 C.F.R. §1.17(p) for submission of the IDS.

Charge Deposit Account

Please charge our Deposit Account No. 02-2666 for any additional fee(s) that may be due in this matter, and please credit the same deposit account for any overpayment.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 2-6-04

Todd M. Becker Attorney for Applicant Registration No. 43,487

Blakely, Sokoloff, Taylor & Zafman LLP 12400 Wilshire Boulevard, Seventh Floor Los Angeles CA 90025-1030

Phone: 206-292-8600 Facsimile: 206-292-8606

Enclosures: Postcard

Form PTO-1449 References (2)

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Substitute	for Form 1449	PTO		Complete if Known		
	INFOE	ΣΝΛΔ	TION DISCLOSUR	Application Number	10/659,898	
10				Filing Date	September 11, 2003	
/016	ES TATI	EME	ENT BY APPLICAN	First Named Inventor:	Andrew J. Kuzma	
	in l	(use as	many sheets as necessary)	Art Unit	2812	
FEB 10	2004 8		•	Examiner Name	Not yet assigned	
Speet	H. E.		of		Attorney Docket Number	42.P13639D
TAU		ä	U.S. PATEN	IT DOCUMENTS	<u> </u>	
Examiner Initials*	Cite No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Num	ber-Kind Code ² (If known)			
	1	US-	4,881,237	11/14/1989	Donnelly	
	2	US-	5,694,048	12/02/1997	Boudreau et al.	
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FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁹ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°					
					N/A						

Examiner	Date Considered	· -
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.